LexisNexis is delighted to announce the inaugural launch of the Asia 2007 Investment Risk and Regulatory Summit, which will feature keynote speeches by former U.S. senator Paul Sarbanes and former U.S. congressman Michael Oxley, co-authors of the world famous Sarbanes-Oxley Act.

The one day summit, being held at the JW Marriott Hong Kong on Tuesday, 4th December, will take an in-depth look at the opportunities and threats of financial compliance and regulation on capital markets in Asia and the rest of the world.

When Sarbanes-Oxley was introduced in 2002, it was seen as the biggest overhaul of US securities regulations in recent history. The Act came in the aftermath of Enron, Tyco and WorldCom – all high profile listed companies that collapsed due to failed corporate governance, accounting abuses and outright greed.

Now five years later on, understand what impact Sarbanes-Oxley has had on Asia’s financial markets. Can regulatory control really prevent future corporate scandals from occurring or does it simply restrict companies from being able to maximize shareholder return.

For this reason, the chance to hear the champions of this pioneer law speak thoroughly about the past, present and future of their Act is a not-to-be-missed opportunity for all CEOs, CFOs, partners, presidents and principals of public companies and soon to be listed firms. Delegates and sponsors alike will want to use this fantastic opportunity to get abreast of all the hot topics in the professional services advisory market today.

**The Conference**

**Where:** Grand Ballroom, Level 3
JW Marriott Hong Kong
Pacific Place, 88 Queensway

**When:** 4th December 2007

**Price:** US$1,200 (HK$9,360)
**PAUL SARBANES**

At the beginning of the 21st century, corporate scandals involving Enron, Worldcom, Tyco, and many other firms, rocked the American business landscape, ruined the lives of many workers and stockholders, and caused the world to lose confidence in American business. In order to regain investor confidence, and monitor corporations and their activities, Paul Sarbanes, Maryland’s senior senator, led the charge to reform the business world. His work in creating the Sarbanes-Oxley Act has transformed the business world, and restored public confidence in Wall Street by holding companies liable for unethical actions.

The principles of fairness and opportunity instilled in Mr. Sarbanes by his parents from a very early age led to his life of public service. In 1966, he ran for the Maryland House of Delegates in Baltimore City and won. During his four years as a state legislator in Annapolis he served on the Judiciary and the Ways and Means Committees. He was then elected to the U.S. House of Representatives, followed by his five terms in the U.S. Senate. In response to the failure of Enron Corporation in 2001, which, at the time, was the 7th largest corporation in the United States, he held a series of comprehensive hearings resulting in the passage of Sarbanes-Oxley. The act was designed to reform the accounting industry and restore the investor confidence that had been eroded following the collapse of Enron and subsequent corporate scandals.

“The Public Company Accounting Reform and Investor Protection Act” was signed into law on 30th July 2002, and has been referred to as “the most far-reaching reforms of American business practices since the time of Franklin Delano Roosevelt.” The law is now known as the “Sarbanes-Oxley Act,” named for Senator Sarbanes and his House co-sponsor Michael Oxley. It creates a strong independent oversight board to oversee the auditors of public companies and enables the board to set accounting standards, and investigate and discipline accountants. It addresses conflicts of interest, ensures auditor independence, strengthens corporate governance, by requiring corporate leaders to be personally responsible for the accuracy of their company’s financial reports, and establishes safeguards to protect against investment analysts’ conflicts. As a result of his work in shepherding this historic legislation through the Congress and into law, Paul Sarbanes was honored in June 2003 with the prestigious Paul H. Douglas Ethics in Government Award from the University of Illinois. The award was designed to honor individuals who have made a substantial contribution to promoting ethics.

Sarbanes work has created a lasting impact on the world of business. Through his actions and legislation, ethical behavior must now be standard practice for all companies, creating a positive business environment for employees and the public.

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**MICHAEL OXLEY**

After 34 years, Congressman Michael Oxley recently retired from a career in public life. His 25 years of distinguished service in the U.S. Congress included chair positions on several committees that accomplished countless legislative achievements, including the Sarbanes-Oxley Act.

In 1972, Mike Oxley was elected to the Ohio General Assembly. He represented the 82nd District until July 1981, when he won a special election to serve in the U.S. House of Representatives.

In January of 2001, Mr. Oxley was chosen by the House leadership to chair the Financial Services Committee. Among the panel’s many legislative achievements under his chairmanship are the Sarbanes-Oxley Act to respond to the era of corporate corruption, the Terrorism Risk Insurance Act to resolve the post-9/11 insurance crisis, the anti-money laundering provisions of the USA PATRIOT Act to help track terrorist financing, and the FACT Act that brought consumers the free annual credit report.

As the Vice Chairman of the Subcommittee on Telecommunications, Michael Oxley was the father of the spectrum auction, which made new portions of the spectrum available to communications companies. He was also a key player in the 1996 Telecommunications Act, legislation to increase competition among the telecommunications industries.

In 1995, Mr. Oxley was named Chairman of the Energy and Commerce Subcommittee on Commerce, Trade, and Hazardous Materials. He successfully pressed for stock prices to be quoted in dollars and cents, leaving the fraction system behind and saving investors billions of dollars.

Michael Oxley has dedicated himself to promoting the core beliefs and policy goals he shares with his constituents: economic prosperity, family values, lean government, low taxes, free trade, energetic competition, and a strong defense and intelligence capability. In the tradition of Ronald Reagan, he has championed the U.S. as the world’s light of economic freedom and individual liberty.

In 1966, Michael Oxley received his B.A. from Miami University, Oxford, Ohio, where he was student body president. In 1969, he earned his law degree from the Ohio State University College of Law. After law school, he became a special agent of the Federal Bureau of Investigation, assigned to Boston and New York.
AGENDA

9.15am       An overview & update from the newly established financial reporting council
              Led by Man To Shum, CEO, FRC

9.35am       Business Intelligence and investigation in Asia
              Led by

10.00am      Past, present & future of the Sarbanes – Oxley Act
              Led by Paul Sarbanes, Keynote Speaker

10.30am      Beyond compliance to sustainable risk management for enhanced enterprise value
              Led by Christopher Low, President, Protiviti Greater China

11.00am      BREAK

11.30am      Exchange Panel – The affects of compliance and regulatory changes on current listings locally and global
              Discussed by: Richard Williams, Head of Listing, HKEx
                          Michael Oxley, Vice Chairman, NASDAQ
                          Representative, NYSE

12.30pm      Discussion Panel – A recap of the topics raised in the morning
              Discussed by: Paul Sarbanes
                          Michael Oxley

1.15pm       EXECUTIVE LUNCHEON, Grand Ballroom, JW Marriott

2.15pm       Developments in online and offline financial services and compliance resources
              Led by Cognos

2.40pm       Past, present & future of the Sarbanes Oxley Act
              Led by Michael Oxley, Keynote Speaker

3.10pm       BREAK

3.40pm       Law Panel – The impact of compliance in Hong Kong, China, Japan and the region as a whole, from a legal perspective
              Speakers: Ashley Alder, Partner, Herbert Smith
                          Martin Rogers, Partner, Clifford Chance
                          Gary Seib, Partner, Baker & McKenzie
                          Daniel Lee, Partner, Kim & Chang

4.40pm       Discussion Panel – A wrap up of the topics discussed during the afternoon
              Discussed by: Paul Sarbanes
                          Michael Oxley
                          Ashley Alder

If you are interested in sponsoring this event please contact
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Jessica Tse at jessica.tse@lexisnexis.com, Tel: 2965-1420

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# ASIA 2007
**Investment Risk and Regulatory Summit**

## Registration Form

<table>
<thead>
<tr>
<th>Date:</th>
<th>4th December 2007</th>
<th>Time:</th>
<th>9:00am - 5:30pm</th>
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<tbody>
<tr>
<td>Venue:</td>
<td>Grand Ballroom, Level 3, JW Marriott Hong Kong, Pacific Place, 88 Queensway</td>
<td>Price:</td>
<td>US$1,200 (HK$9,360)</td>
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| Firm / Company | | | |
| Position | | | |
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| Work Email | | | |
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**How did you hear about the conference?**

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- [ ] Please invoice my company (Your invoice will be in HK$)

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Upon confirmation of this membership number, you will be entitled to a discount of up to 15%